



**UNIVERSIDAD DE CHILE Santiago**  
**24-27 de Enero 2016**  
**SEMINARIO INTERNACIONAL**  
**Education, Society & Human Rights:**  
**The contribution of Neuroscien**  
**ce**



**TOWARD A NEUROSCIENCE OF**  
**ETHICAL NORMATIVITY?**  
**tinkering with brain organisation**

**Jean-Pierre Changeux**

# SCIENCE vs MORALITY?



**David Hume**

**«scientific activity establishes  
what is or what is not?**

**the statements of a system of morality define  
what ought to or what ought not to be done?»  
prescribe rules -or norms- of conduct**

**does this exclude a science of morality?**



**René Descartes**

**«la morale, présupposant une entière  
connaissance des autres sciences,  
est le dernier degré de la sagesse».**

**highest level of wisdom?  
...of scientific knowledge?  
(Auguste Comte)**

# SCIENCE vs MORALITY?

**Normative ethics** investigates how one ought to act morally?.

**Piotr Kropotkin**

« Why shall I be moral ? » Ethics 1921

« **nature is the first master having tough ethics to humans, the moral principle...**

**social instinct,**

**innate in humans as in social animals, the source of all ethics notions & subsequent evolution»**

**in three successive steps:**

**1 sociability as mutual aid**

**2 sympathy & good will ... rules of justice & equality**

**3 generosity: the highest of moral evolution... »**

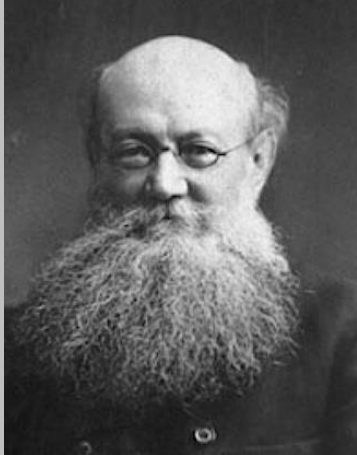
**Paul Ricoeur** *Soi-même comme un autre* 1990

**ethical intention is «a cognitive operation»:**

**look for the adequate action**

**which conciliates the interest of the individual with that of the social group.**

**a «good life with and for others in just institutions»**



# TOWARD A NEUROSCIENCE OF ETHICAL INTENTION & ETHICAL NORMATIVITY ?

Changeux & Ricoeur La nature et la règle 1988 O Jacob  
What makes us think? 2002 Princeton UP

**every actual aim must be submitted to the “sieve of the norm”**

Paul Ricoeur *Oneself as Another*, 170).

**the contribution of neuroscience to ethical normativity.**

**1. a multilevel evolutionary context**

**2. neural bases of «good life»**

**3. social relationships:**

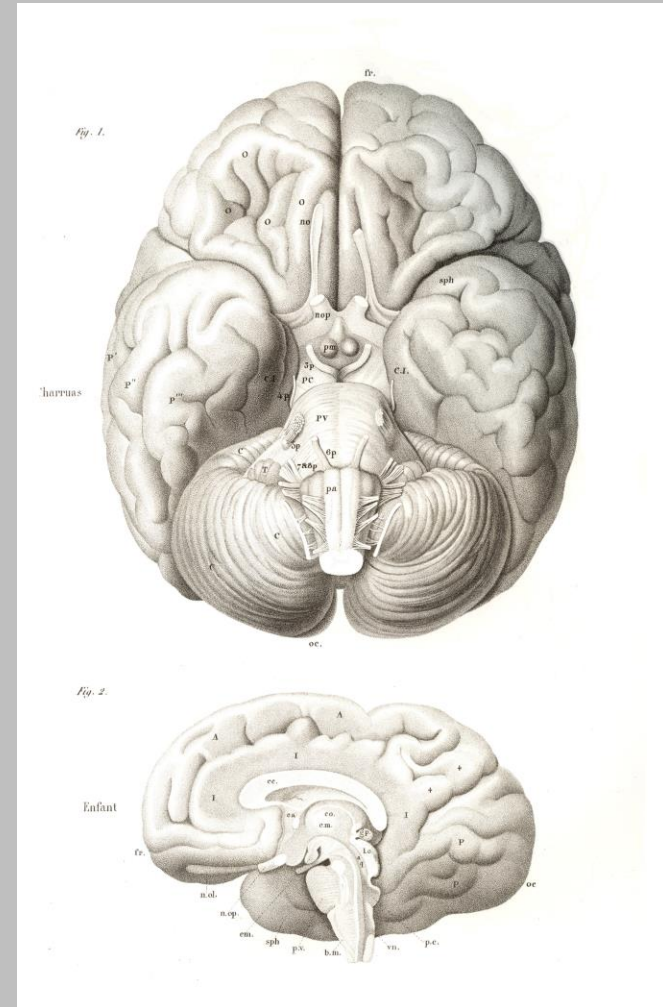
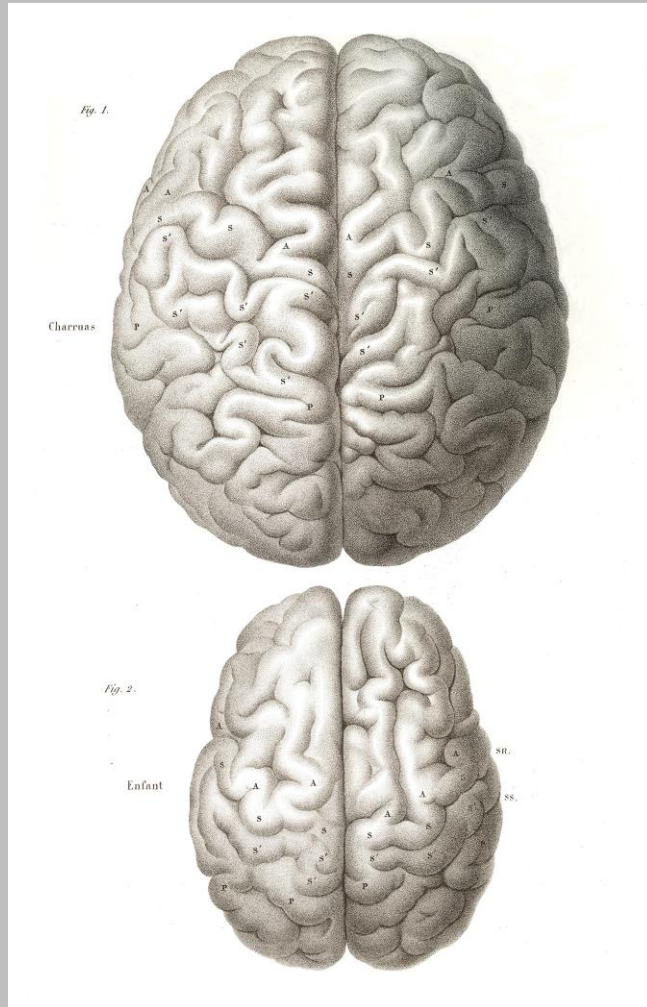
**sociability as mutual aid  
sympathy & good will ... rules of justice & equality  
generosity: the highest of moral evolution...**

**4. just institutions  
ethical rules & ethical innovation**

# **A MULTILEVEL EVOLUTIONARY CONTEXT**



# THE HUMAN BRAIN



Leuret & Gratiolet 1839-1857

**approx 86 billion neurons (S Herculano-Houzel) &  
approx 1 000 000 billion synaptic contacts in the brain:  
an extraordinary complexity**

# THE BUILDING BLOCKS OF THE BRAIN

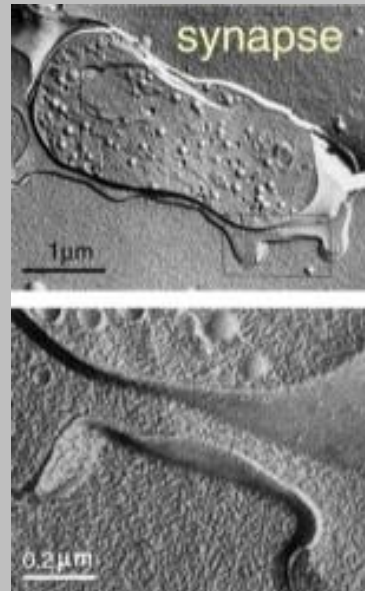
0.1mm

neuron



$\mu\text{m}$

synapse

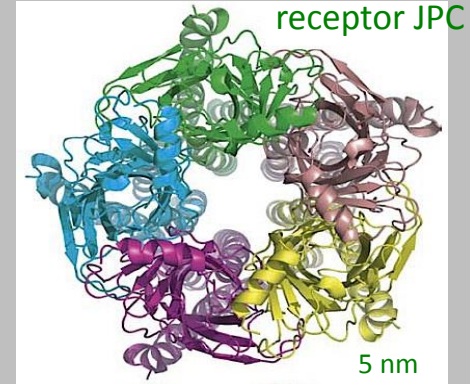


neurotransmitter  
as chemical signal

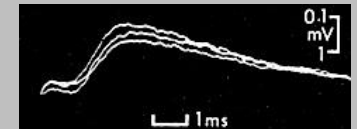
**rate limiting steps  
in information processing  
physical constraints**

nm

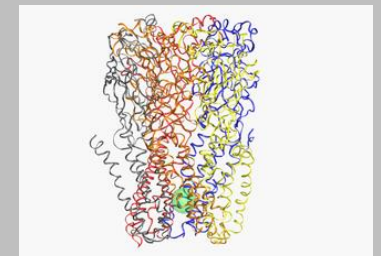
receptor JPC



Changeux & Talv 2009



chemical to electrical  
conversion Katz 1966



allosteric mechanism of  
signal transduction JPC

**...the molecular & cellular «components of thought»**

**P Gardenfors 2003**

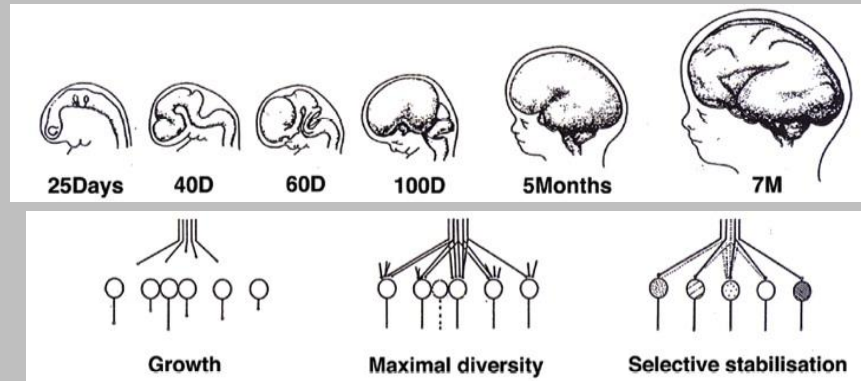


# MULTIPLE LEVELS OF VARIABILITY NESTED WITHIN & BETWEEN HUMAN BRAINS

## generalised darwinism



evolution of species: general brain organisation  
*variability of genome*: millions of years



Changeux & Danchin 1976

ontogenetic development:  
*epigenetic variability of connections*:  
days, years (15 years in humans)

dynamics of thought:  
*variability of spontaneous activity & synaptic efficacies*: 1 à 100 ms

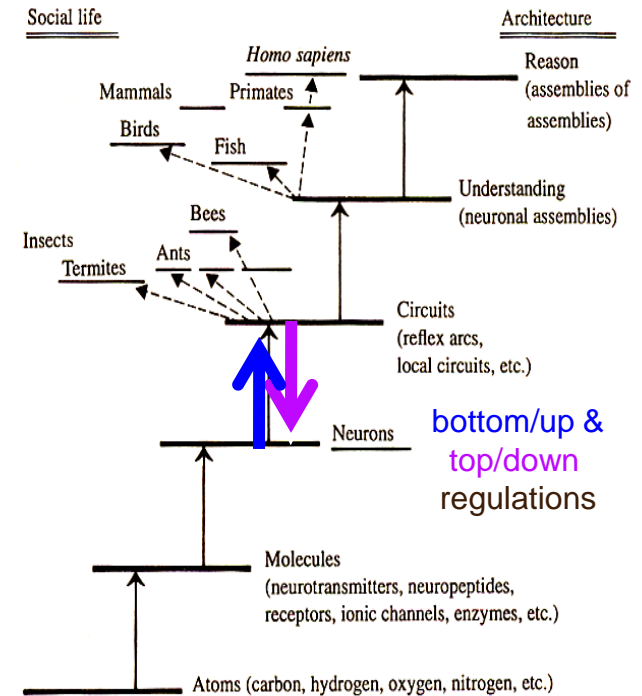
social & cultural evolutions:  
*variability of synaptic efficacies & extracerebral memories*

100 ms to thousands of years

## hierarchy & parallelism

### social life

### consciousness



Changeux & Connes 1989

**human brain: open, exploratory,  
self-organising & conscious system  
engaged in social communication &  
cultural transmission**

# TINKERING MODELS OF THE BRAIN

(Changeux The Physiology of truth 2002)

the aim:

- .to represent a behavior or «mental» process, on the basis of **minimal** yet realistic, **neural architectures and activity patterns**, if possible, in mathematical terms &
- .to build **a formal organism** able to pass the task &
- .to establish **causal relationships** between a specific behavior or even mental **subjective** processes and **objective** neural measurements.
- .can be challenged experimentally, from the molecular to the cognitive level, on **a well-defined experimental task**

but:

best theoretical model will **never** give...  
a complete & exhaustive description of reality  
... ie «the brain is a Turing machine»...= nonsense

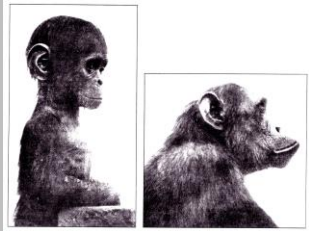
**NEURAL BASES OF ETHICAL INTENTION**  
**«GOOD LIFE»**



**unity of the human brain**

**genome level**

# BRAIN – GENOME COMPLEXITY



**non linear evolution of  
brain vs genome complexity**



|        | GENOME SIZE | NUMBER OF GENES  | NUMBER OF NEURONES   |
|--------|-------------|------------------|----------------------|
| YEASTS | 13.5 Mb     | 6.144            | -                    |
| WORMS  | 97 Mb       | 18.266           | 302                  |
| FLY    | 165 Mb      | 13.338           | $250 \times 10^3$    |
| MOUSE  | 2.5 Gb      | <b>20-25.000</b> | $40 \times 10^6$     |
| HUMANS | 2.9 Gb      | <b>20-25.000</b> | $50-100 \times 10^9$ |

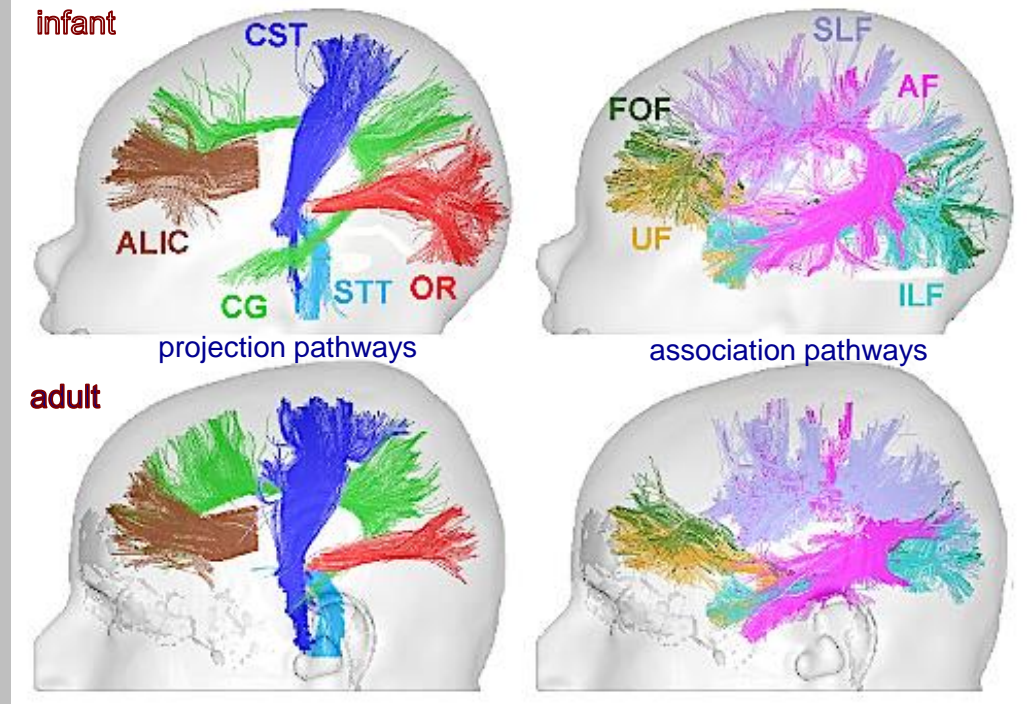
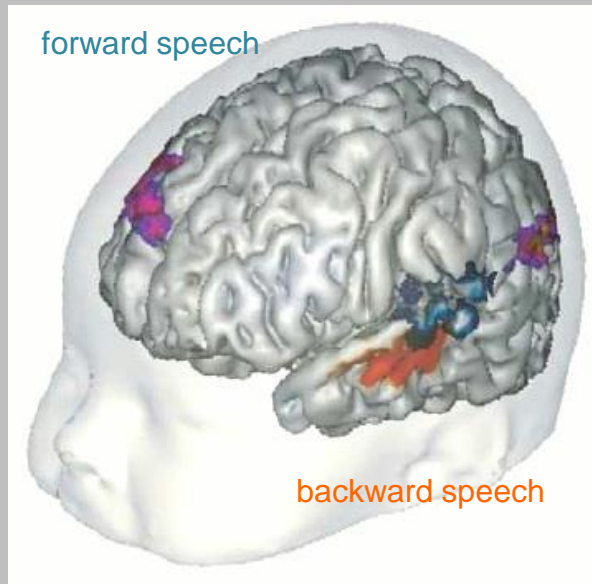
**similar small number of structural genes in mice & men  
1.2% sequence diff. between chimps & men! paradox?  
regulation of gene expression**

**interacting genes = «nested coherent gene groups» model (Tsigelny & JPC)  
no single genes for language, music, math...autism!**

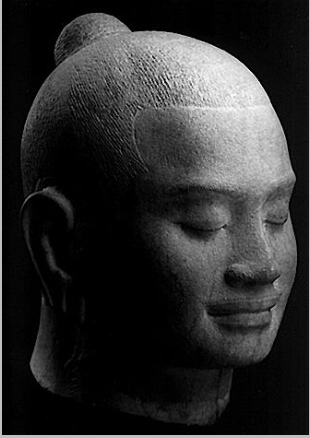


# INNATE UNIVERSAL DISPOSITIONS OF THE HUMAN NEWBORN

Dehaene-Lambertz et al 2006; Dubois et al 2011, 2014



**“core knowledge”:**  
**for grasping & breast sucking,**  
**for distinction between living/inanimate objects, recognition of human faces,**  
**for language acquisition**  
**for conscious access, empathy and sympathy...**  
**BUT genetic variability among individuals**

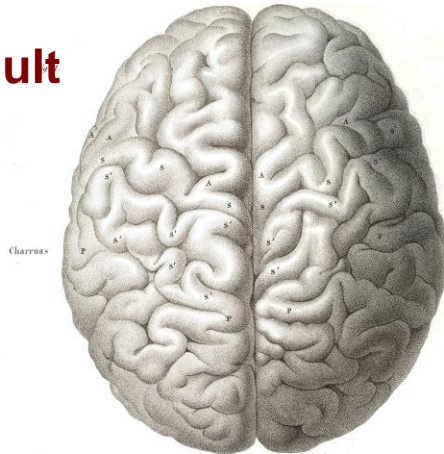


**epigenetic diversity?**

**neuronal networks level**

# POSTNATAL EPIGENESIS & CULTURAL EVOLUTION

adult

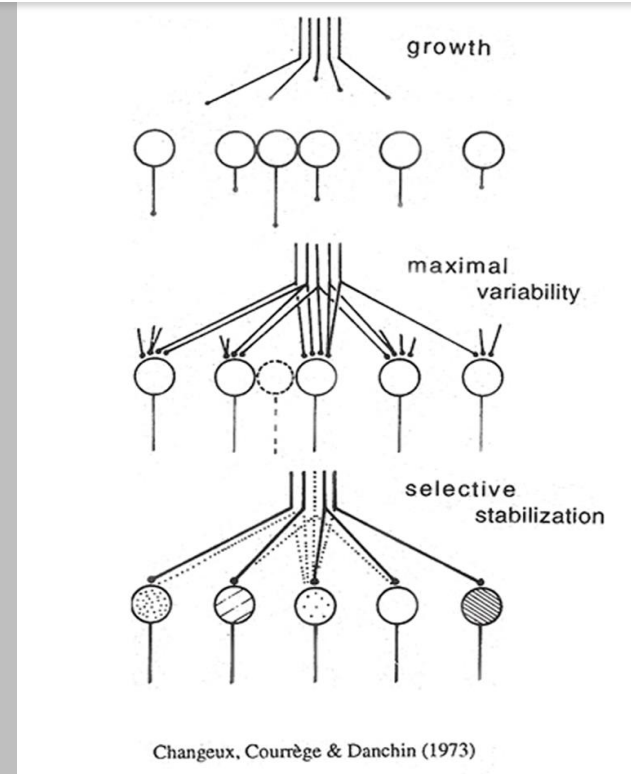


newborn



newborn to adult: brain weight x 5  
ca 10 million synapses/second  
Lagercrantz et al 2010

## selective stabilisation of synapses



nested processes of active synapse selection  
evidence for synapse elimination/pruning

**prolonged postnatal development in humans (15 years)  
associated with acquisition of basic knowledge,  
genesis & internalization of culture (Vygotsky 1978)**

# VARIABILITY THEOREM

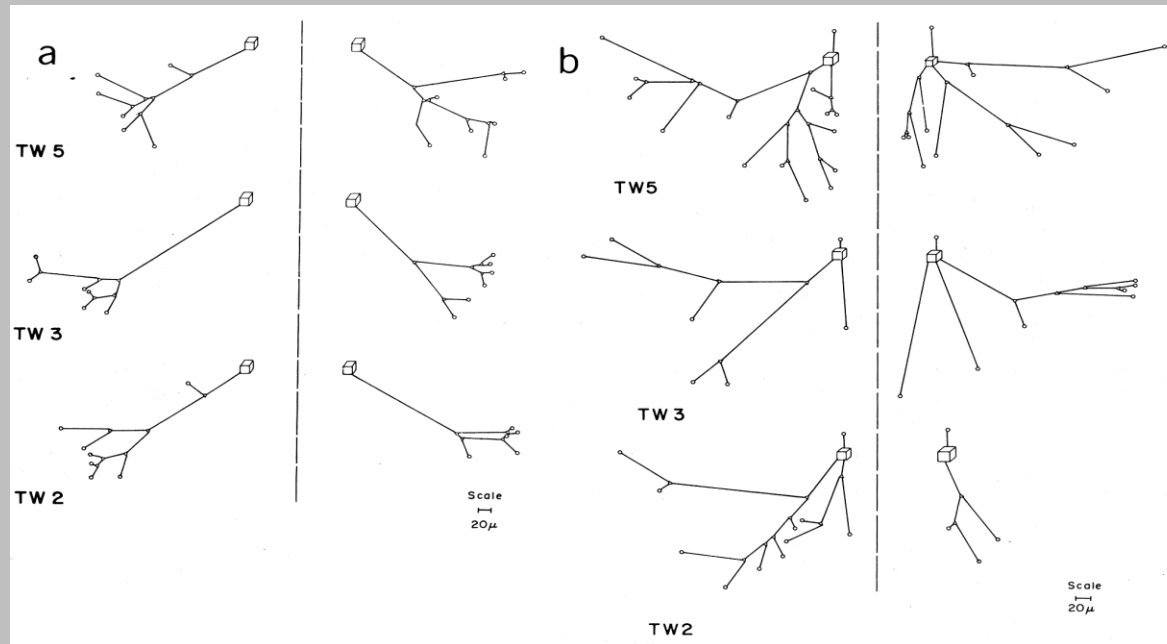
Changeux, Courrège & Danchin 1973

«different learning inputs may produce different connective organisations & neuronal functioning abilities, but the same behavioral abilities»

*Poecilia formosa* isogenic individuals  
identifiable motor neurons dendrites

Müller 4

Müller 1



Levinthal, Macagno & Levinthal 1976

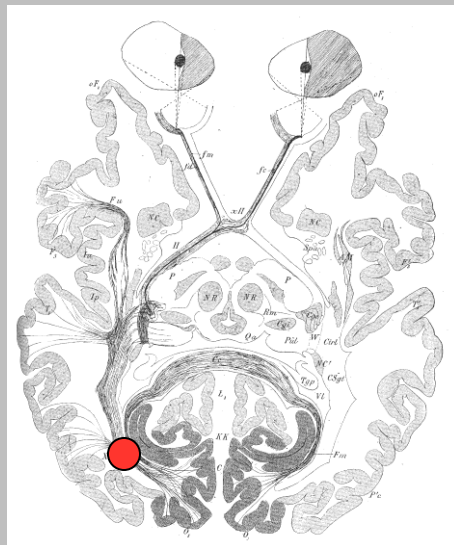
**considerable epigenetic variability of the connectivity  
between individual brains yet with behavioral invariances**

# POSTNATAL SELECTION OF CULTURAL CIRCUITS

## the example of writing & reading

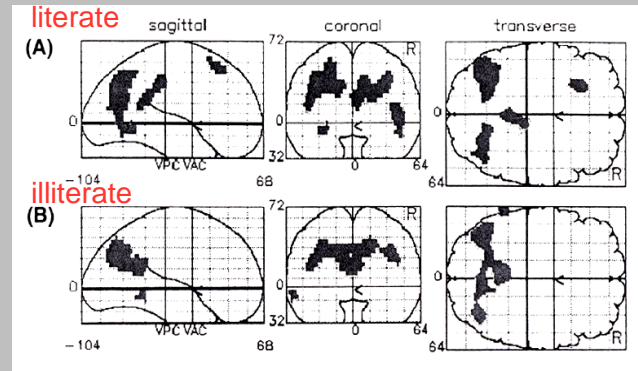


Jules & Augusta Dejerine

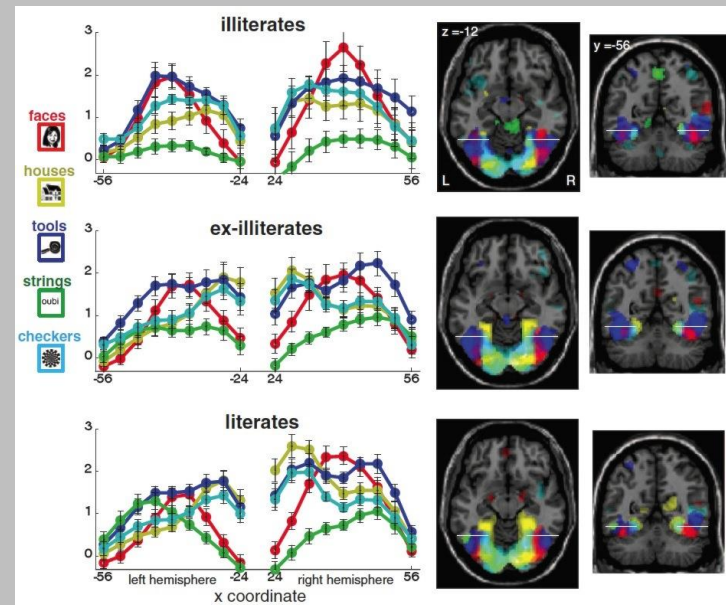


Dejerine 1901

● **lesion giving alexia without agraphie**



Castro-Caldas, Peterson, Reis, Stone-Erlander & Martin Ingvar 1998



Dehaene, Pegado, Braga, Ventura, Nunes Filho, Jobert, Dehaene-Lambertz, Kolinsky, Morais & Cohen 2010

**reading & writing = epigenetic appropriation of developing neural circuits**





Elisabeth-Louise Vigée-Lebrun Portrait de sa fille

**cognition**  
**access to consciousness**  
**internal & external worlds**

# LEVELS & EVOLUTION OF «CONSCIOUS ACCESS»

J.Barresi & C.Moore 1996; Zelazo's 1996; Lagercrantz & Changeux 2009

## **LEVEL 1: *minimal consciousness* :**

*newborn & simple organisms*

*intentional representation of objects, anticipations*

*approach & avoidance behavior, delayed-response tasks*

but **unreflective species without social life**: i.e.mice & rats

## **LEVEL 2: *recursive consciousness*:**

*8-15 months infant imitative organisms with shared intentional relations to object*

*holding two representations in working memory*

shared attention but **without mutual understanding**

i.e.vervet monkeys,

## **LEVEL 3: *self-consciousness*:**

*2 year old child & chimps imagination & the self-other distinction*

*concept of intentional agent, self recognition on mirror tests*

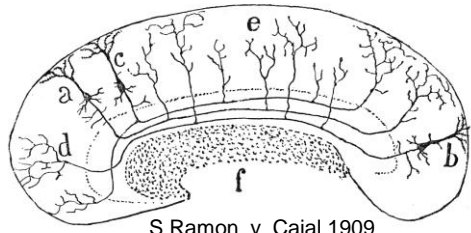
## **LEVEL 4: *reflective consciousness & theory of mind*:**

*3-5 year child full conscious experience*

# «GLOBAL NEURONAL WORKSPACE» HYPOTHESIS

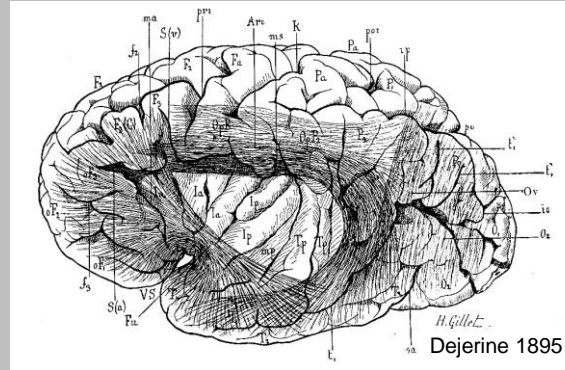
## long range brain connectivity & conscious access

(Dehaene, Kerszberg & Changeux 1998. Dehaene & Changeux Neuron 2011)

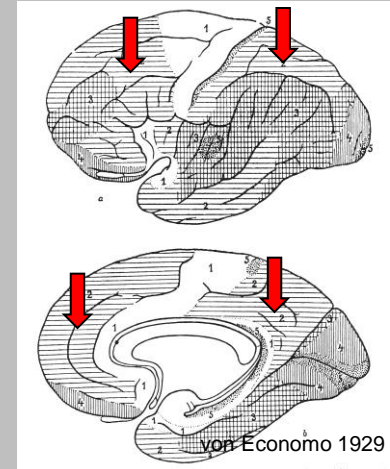


S Ramon y Cajal 1909

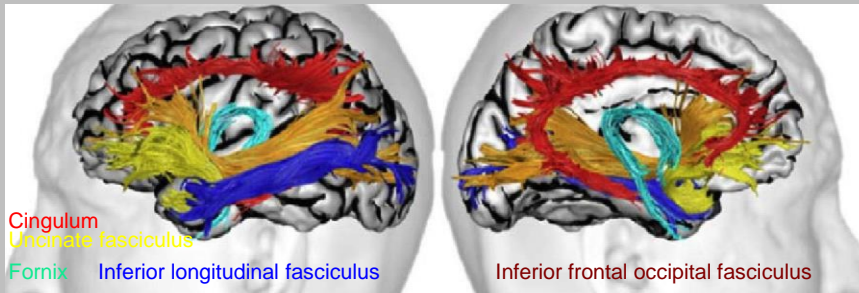
layers 2-3 pyramidal neurons from PFC



H. Gille 1895



von Economo 1929

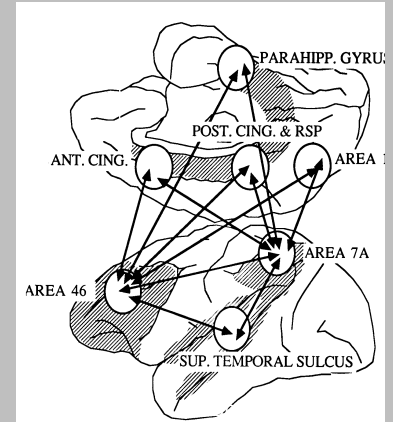
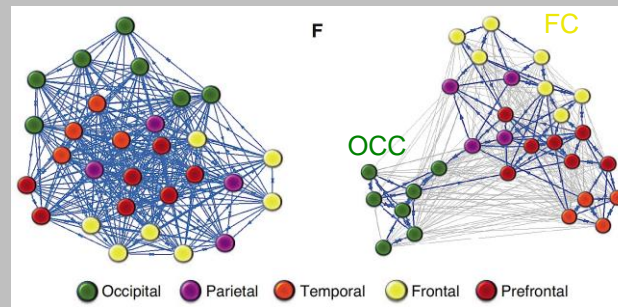


Pugliese et al. (2009)

### spatial network model of the cerebral cortex:

24% strongest links consistent with GNW

Markov, Ercsey-Ravas, Van Essen, Knoblauch, Toroczka, Kennedy 2013



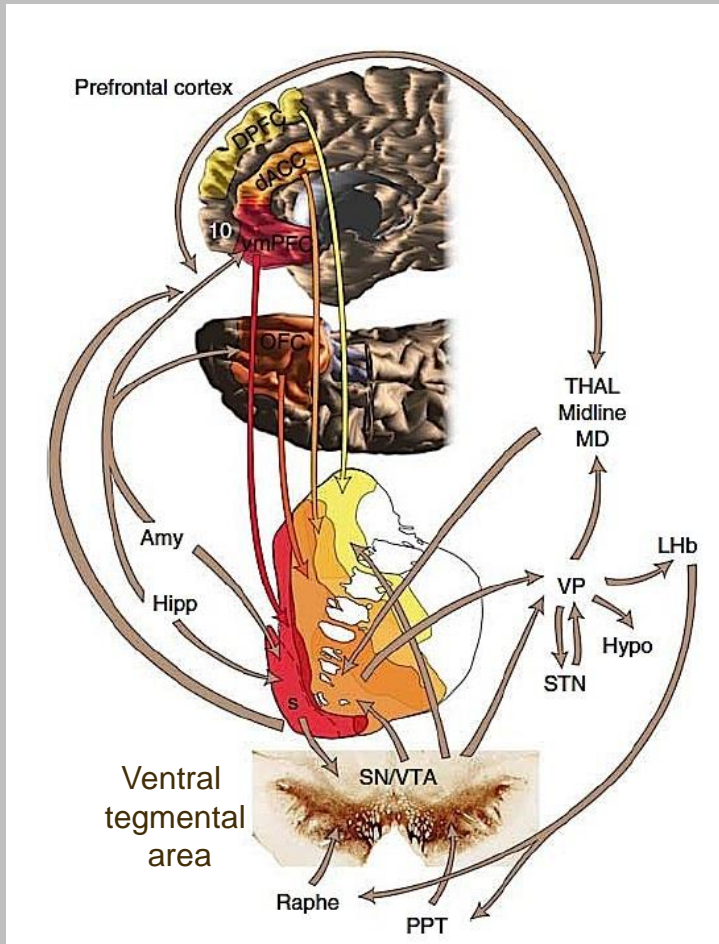
**hypothesis: long range axon neurons broadcast signals to multiple brain areas yielding subjective experience = conscious access**





# «GOOD LIFE»

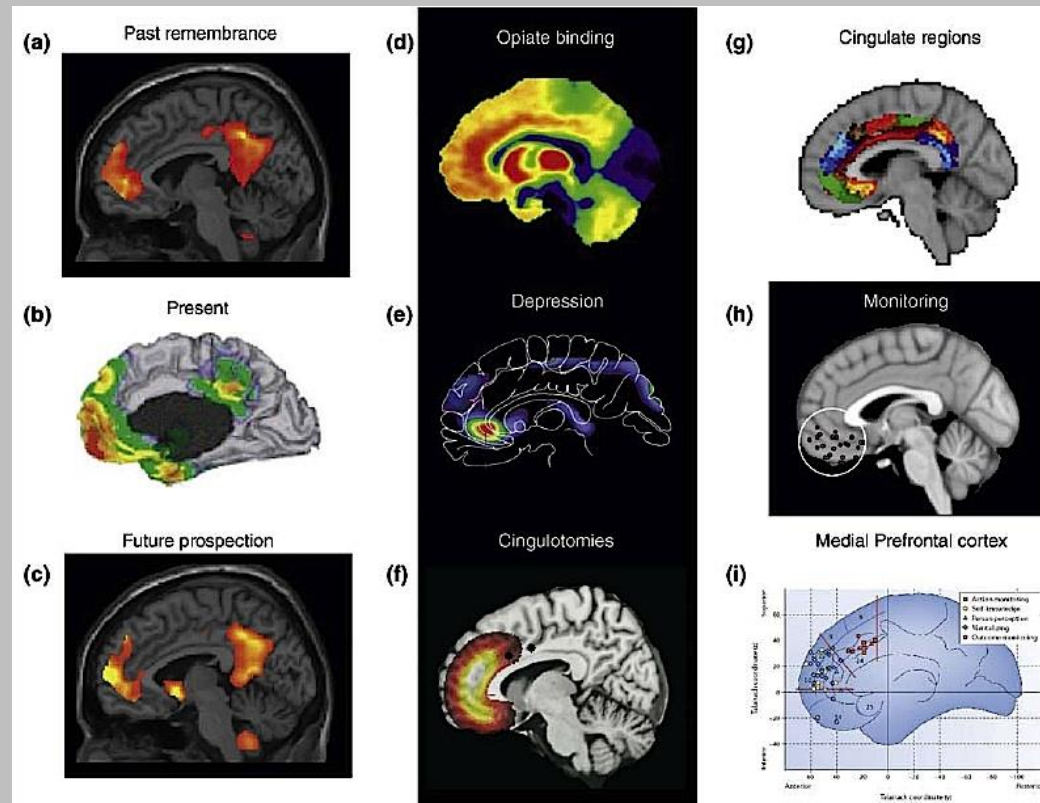
## reward circuits & pleasure (dopamine)



Haber & Knutson 2010

enhancement by drugs,  
electrical stimulation, videos...?

## happiness



Kringelbach & Berridge 2009

**different neuronal circuits for  
hedonism (pleasure, *plaisir*) &  
eudemonism (happiness, *bonheur*)**

**a neuroscience of good life?**



**«WITH & FOR OTHERS»**

(Ricoeur)

**SOCIAL RELATIONSHIPS**

**«sociability, sympathy & good will,  
generosity: highest of moral evolution»**

(Kropotkine)

## ONESELF AS ANOTHER & THE NOTION OF «MIND READING»



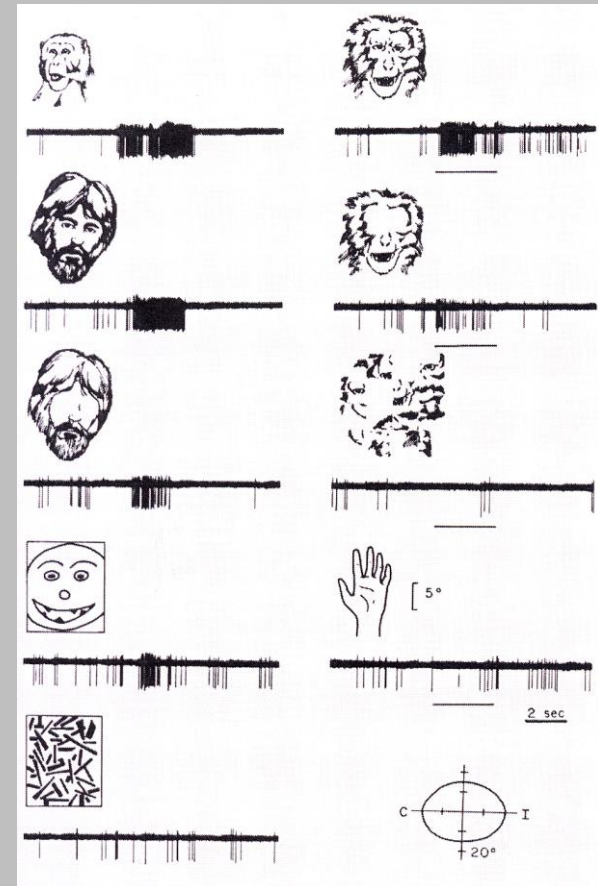
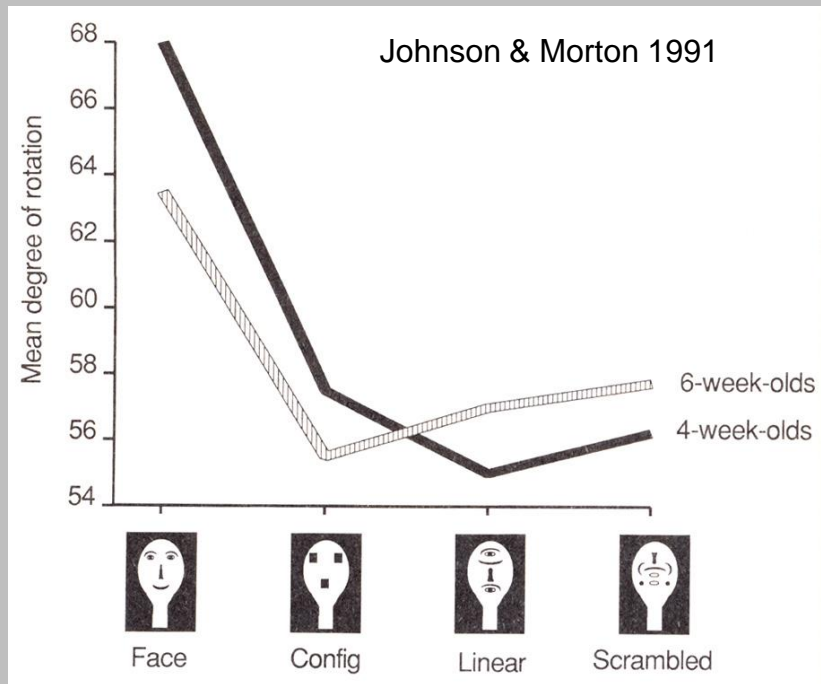
Le tricheur à  
l'as de carreau  
George de La Tour

attribution of mental states to another  
(Premack & Woodruff 1978)

**mind reading:**  
**an innate disposition to put oneself to the place of the other,**  
**yet, without necessarily sharing the emotions**

# WHO IS THE OTHER?

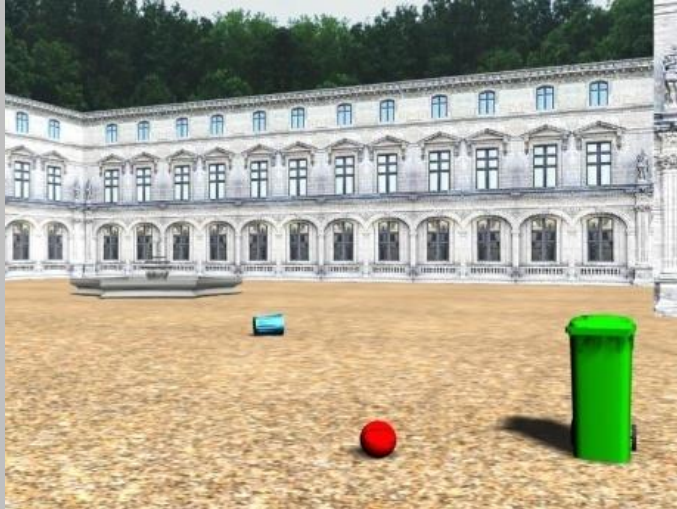
« the relationship to a face is right away ethical » Emmanuel Lévinas



Gross et al 1981

**innate disposition to recognize «the other»**

# EGO- vs ALLO-CENTRIC REPRESENTATIONS



1. which is closest to you ?
2. which is closest to the red ball

**egocentric**

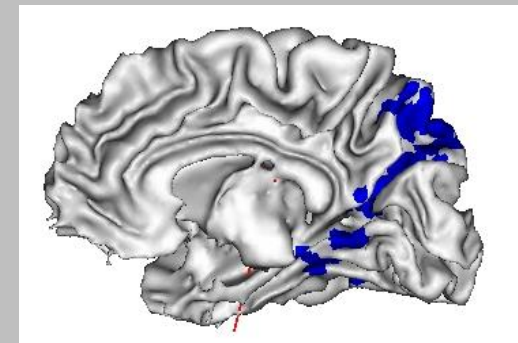
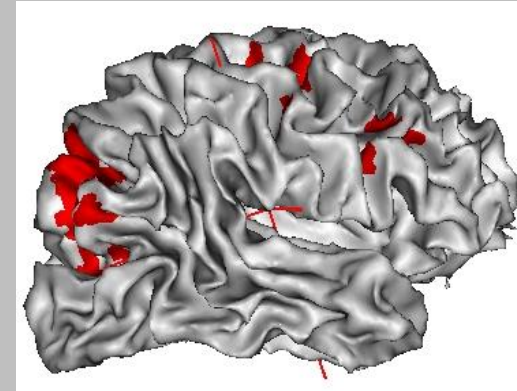
hippocampus

**allocentric**

para-hippocampic

bilateral median temporal

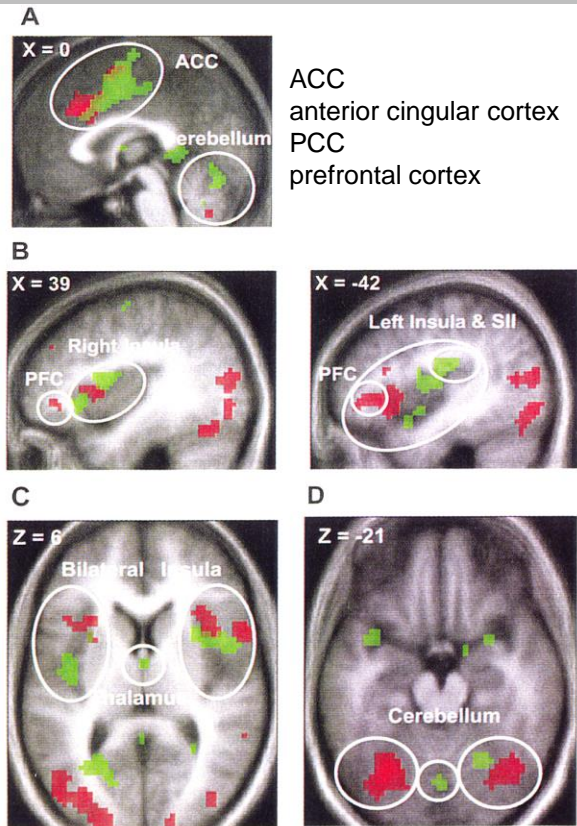
brain imaging fMRI  
identification of objects  
in an architectural space



Committeri, Galati, Pizzamiglio,  
Berthoz, Lebihan, Paradis 2004

**“oneself” vs the “other”  
under the control of prefrontal cortex?**

# EMPATHY FOR SUFFERING



Singer, Seymour, O'Doherty,  
Kaube, Dolan & Frith 2004

apply electrical stimulus  
to self or to other partner

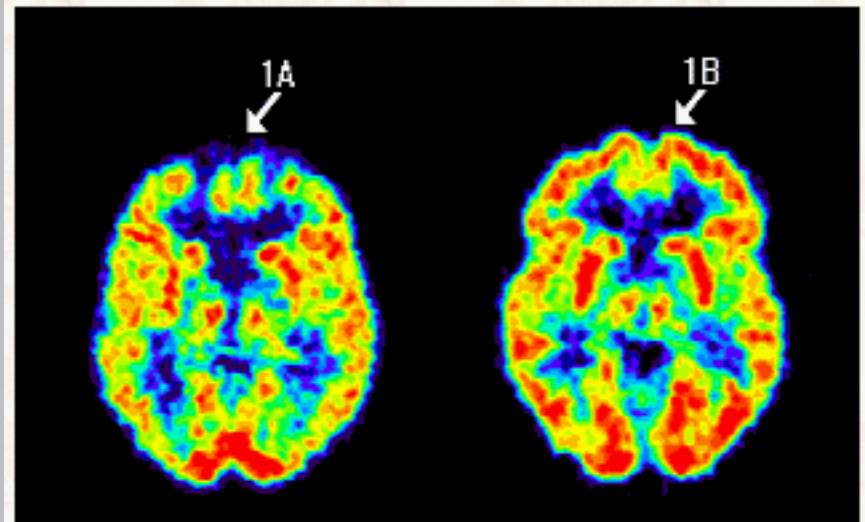
red: pain/ self green: pain/ the other

neural circuits of empathy?

# SOCIOPATHY & VIOLENCE INHIBITOR

lesion left orbitofrontal cortex  
sociopathic personality

normal



Adrian Rayne 1997

sociopathy: antisocial personality,  
aggressivity, eg serial killer,  
lack of remorse, though  
capacity of attribution preserved  
= violence inhibition altered

neural circuits of sympathy?

neural circuits of self-other  
recognition & evaluation



# DEHUMANIZED PERCEPTION

Harris & Fiske 2006  
Fried 1997

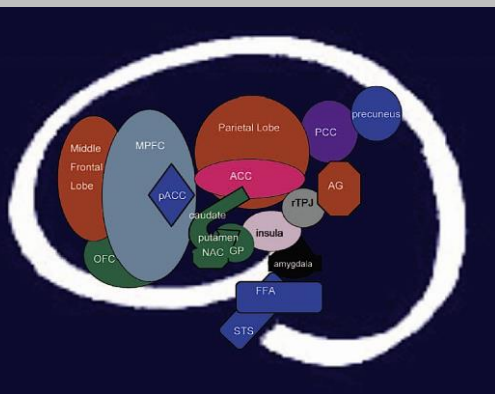
**empathy does not necessarily  
mean sympathy**

**Terror in France 1793  
Armenian genocide, nazi Holocaust,  
Red Khmers, Rwanda, Bosnia,  
Daech...**

**dehumanization: a person is viewed as a non-  
human without internal life, mental states,  
thoughts & feelings and without an identity as  
a person.**

**no longer compassion or other moral responses.**

**reduced medial prefrontal cortex activation  
also amygdala & insula involved  
in dehumanized perception**



# CAN WE BE EPIGENETICALLY PROACTIVE?

Evers & Changeux 2015

**combinatorial explosion of possible representations in the brain  
selection & storage in memory of an «efficient rule» that  
limits, constrains and organize underlying representations**

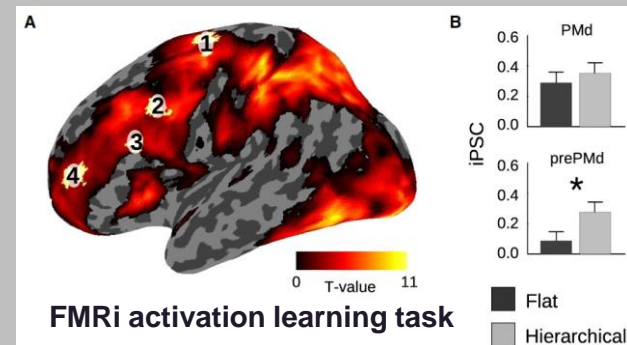
Changeux the Physiology of Truth 2004

**“epigenetic rules”** as acquired patterns of connections hypothetically  
stored in frontal cortex long-term memory  
regulate decision-making  
in a top-down manner.

frontal cortex & the discovery of  
abstract action rules

Koechlin et al 2003;

Badre, Kaye & D’Esposito 2010

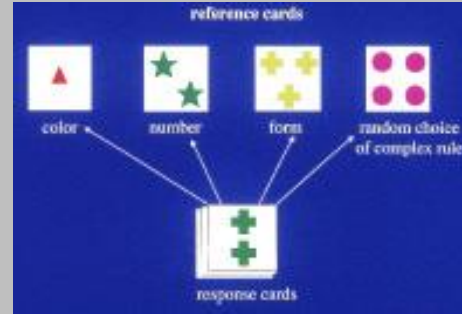


being **“epigenetically proactive”** = let us reciprocally adapt our social  
structures, in both the short and the long term, to benefit, influence and  
constructively Interact with our ever-developing brain architecture

# MODEL OF «MENTAL DARWINISM»

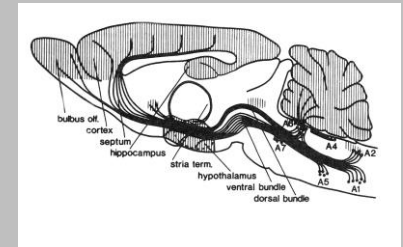
Dehaene & Changeux 1991

## Wisconsin card sorting task



**rules**

for colour, number, shape..

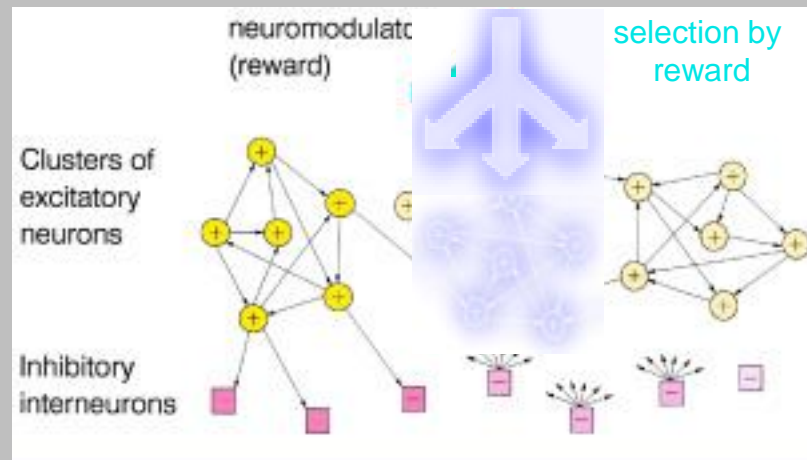


**dopaminergic  
reward neurons**

**selection by reward  
of representations  
matching  
the outer world**

**importance of  
spontaneous activity**

= variable  
pre-representations  
coded by coherent  
*clusters* of  
excitatory neurons



allosteric receptors as signal integrators

**role of reward (& anticipation of) in the selection of rules**





# THE AGORA

(J-P Vernant)

**multiplicity of schools of thought  
public debate & open critics  
Interacting brains within  
intentional framework**

**selection of the solution which  
works the best**

**the most adequate to the real world  
beyond social conventions &  
religious myths  
thus the most universal**

*in constant revision &  
in constant progress...*

**the search for objective truth  
together with inter-subjective good**

**ethics committees...  
a mondial ethics committee?**

The School of Athens: Pythagoras  
by Raphaël

**IN JUST INSTITUTIONS**  
**ethical rules & ethical innovation**



# THE GOLDEN RULE : EAST-WEST



Confucius 551– 479 BC

«do not do to others what you do not wish done to you»



Mō-Tzu 470 BC – ca. 391 BC

«he who loves others will be loved in his turn;  
he who causes others to profit will profit in his turn»



Leviticus 19 8 ca. 400 (538–332) BC

«love your neighbor as you love yourself»

Hillel 110 BC-10 BC Babylonian Talmud

«what is hateful to you, do not do to your neighbor»



Matthew 7:12 80-95 AD

«do unto others as you would have them do unto you»

**norm of reciprocity in the social group based upon  
the understanding of one-self in relation to others &  
«enlarging sympathy»** (Darwin The Descent of Man 1871)



# DECLARATION OF HUMAN RIGHTS 1789

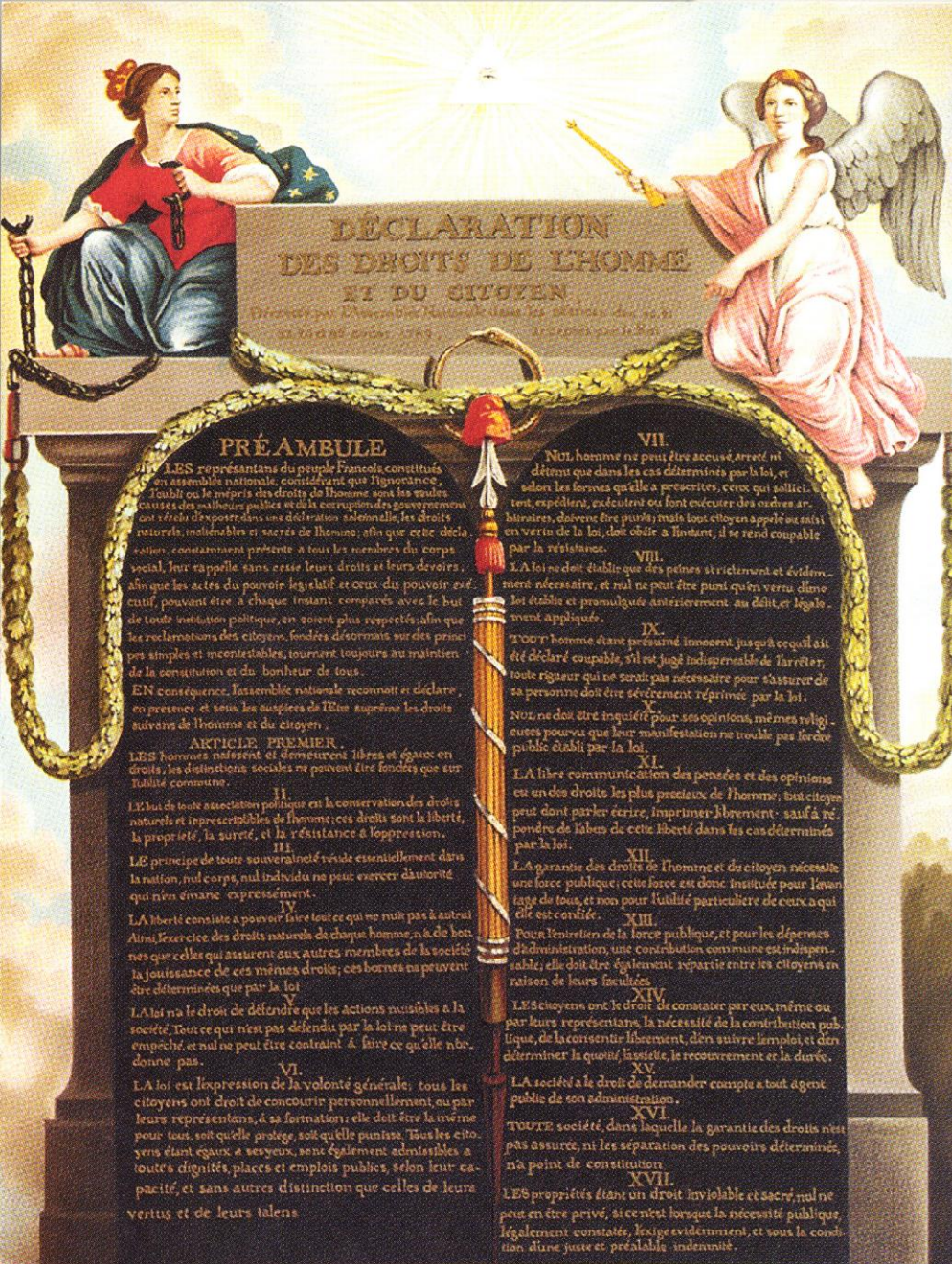
liberté, égalité, fraternité

# UNIVERSAL DECLARATION OF HUMAN RIGHTS 1948



Eleanor Roosevelt & René Cassin

toward a universal ethics?







to be epigenetically proactive? Keith HARING

